

Substitute Form PTO-1449

U.S. Department of Commerce
Patent and Trademark Office

Attorney's Docket No.

Application No.

06154-011004

10/678,356

**Information Disclosure Statement
by Applicant**

(Use several sheets if necessary)

Applicant

Robert E. Kahn et al.

Filing Date

October 2, 2003

Group Art Unit

2131

(37 CFR § 1.96(b))

U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
JP	AQ	Garrett, John R., "Toward an Electronic Copyright Management System", <u>Journal of the American Society for Information Science</u> , Vol. 44, No. 8, pp. 468-473 (1993)
	AR	
	AS	
	AT	

Examiner Signature

/Joseph Pan/

Date Considered

12/08/2006

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06154-011003	Application No.
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Kahn et al.	
		Filing Date January 24, 2003	Group Art Unit

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
JP	AA	5,638,443	06/10/97	Stefik et al.	380	4	
	AB	5,496,071	03/05/96	Walsh	283	70	
	AC	4,977,594	12/11/90	Shear	380	4	
	AD	5,423,043	06/06/95	Fitzpatrick et al.	395	700	
	AE	5,089,952	02/18/92	Bozman	395	725	
	AF	5,222,234	06/22/98	Wang et al.	395	600	
	AG	5,280,610	01/18/94	Travis Jr. et al.	395	600	
	AH	5,422,999	06/06/95	Travis et al.	395	200	
	AI	5,491,817	02/13/96	Gopal et al.	395	600	
	AJ	5,357,630	10/18/94	Oprescu et al.	395	600	
	AK	5,230,051	07/20/93	Quan	395	700	
	AL	4,937,863	06/26/90	Robert et al.	380	4	
	AM	4,953,209	08/28/90	Ryder, Sr. et al.	380	23	
	AN	5,339,403	08/16/94	Parker	395	425	

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	AO						Yes	No
	AP							
	AQ							
	AR							
	AS							

Other Documents (include Author, Title, Date, and Place of Publication)		
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JP	AT	EPR Electronic Commerce Technologies, Press Release, EPR Announces InterTrust™ and DigiBox™, "Breakthrough Digital Rights Protection, Information Metering Architecture, With A Universal Digital Content And Commerce Container", April 10, 1995.
JP	AU	Amdur Dan, Article re Digital Libraries, Today, 1/16/1996.

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JP	BA	EPR Electronic Commerce Technologies, Press Release, "National Semiconductor and EPR Partner for Information Metering/Data Security Cards", 3/4/1994.
	BB	EPR Electronic Commerce Technologies, Press Release, "Announcing NetTrust™", 1995.
	BC	Kahn et al., The Digital Library Project—Volume 1: The World of Knowbots (DRAFT), "An Open Architecture For A Digital Library System And A Plan For Its Development", 49 pp., March 1998.
	BD	Kahn et al., The Digital Library Project—Volume 1: The World of Knowbots, "An Open Architecture For A Digital Library System And A Plan For Its Development", 75, pp, December 1997.
	BE	Dunstan et al., "Access to Digital Objects: A Communications Law Perspective", Annual Survey of American Law, NY University School of Law, 1994 Volume, Issue 3.
	BF	Lagoze et al., "Implementation Issues In An Open Architectural Framework for Digital Object Services", Revision 1.3, June 6, 1995
	BG	Kahn, Robert, "Deposit, Registration and Recordation In An Electronic Copyright Management System", CNRI, Reston VA, August, 1992.
	BH	Garrett et al., Copyright Clearance Center, "Text To Screen: Copyright Issues In The Electronic Age", pp. 1-12, 1989.
	BI	Garrett et al., Copyright Clearance Center, "Toward A Copyright Management System for Digital Libraries", pp. 1-74, 1991.
	BJ	Kahn, "National Information Infrastructure Components", Serials Review, Vol. 18, No. 1-2, 1992, pp. 85-87
	BK	Kahn et al., "A Framework for Distributed Digital Object Services", May 13, 1995.
	BL	Kahn et al., "Accessing Digital Library Services and Objects", Draft 4.4, February 25, 1995.
	BM	Copyright Clearance Center Online, Press Release, Danvers, MA, April 6, 1995.
	BN	Kahn, Robert, "Deposit, Registration and Recordation In An Electronic Copyright Management System", IMA Intellectual Property Project Proceedings, Vol. 1, Issue 1, pp. 111-120, Jan. 1994.
	BO	Cerf et al., "Issues In Packet Network Intercommunication" Proceedings of the IEEE, Vol. 66, No. 11, pp. 1386-1408, November 1978.
	BP	CNRI Brochure, Workshop On The Protection of Intellectual Property Rights In A Digital Library System, "Knowbots In The Real World", May 18-19, 1989.
	BQ	Kahn et al., "Locating Electronics Library Services and Objects: A Frame of Reference for the CS-TR Project", Feb. 9, 1994.
	BR	Lyons, Patrice, "Knowledge-Based Systems and Copyright", Serials Review, pp. 88-91, 1992.
	BS	Cerf et al., "A Protocol for Packet Network Intercommunication", IEEE Transactions On Communications, Vol. Com-22, No. 5, pp. 637-648. May 1974.
	BT	Kahn, Robert, "Resource-Sharing Computer Communications Networks", Proceedings of the IEEE, Vol. 60, No. 11, p. 1397-1407, November 1972.
	BU	Postel, "NCP/TCP Transition Plan, USC/Information Sciences Institute", November 1981.
	BV	Mockapetris, "Domain Names—Implementation and Specification", pp. 1-67, http://www.ietf.org/rfc/rfc0883.text , November 1983.

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JP	CA	Mockapetris, "Domain Names—Concepts and Facilities", pp. 1-28, http://www.ietf.org/rfc/rfc0883.text , November 1983.
JP	CB	Wilensky, "Locating Electronic Library Services and Objects" (DRAFT), October 31, 1993.
JP	CC	Interfacing To The Handle Management System, August 1994.
JP	CD	Grass et al., "A Syntax for Distributed Object Handles", August 3, 1994.

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